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APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
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10/822,133

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09/09/2008

EXAMINER

JEN, MINGJEN

ART UNIT

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**Please find below and/or attached an Office communication concerning this application or proceeding.**

The time period for reply, if any, is set in the attached communication.

<b>Office Action Summary</b>	<b>Application No.</b> 10/822,133	<b>Applicant(s)</b> PERLIN ET AL.	
	<b>Examiner</b> IAN JEN	<b>Art Unit</b> 3664	

-- The MAILING DATE of this communication appears on the cover sheet with the correspondence address --

### Period for Reply

A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE 3 MONTH(S) OR THIRTY (30) DAYS, WHICHEVER IS LONGER, FROM THE MAILING DATE OF THIS COMMUNICATION.

- Extensions of time may be available under the provisions of 37 CFR 1.136(a). In no event, however, may a reply be timely filed after SIX (6) MONTHS from the mailing date of this communication.
- If NO period for reply is specified above, the maximum statutory period will apply and will expire SIX (6) MONTHS from the mailing date of this communication.
- Failure to reply within the set or extended period for reply will, by statute, cause the application to become ABANDONED (35 U.S.C. § 133). Any reply received by the Office later than three months after the mailing date of this communication, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b).

### Status

- 1) ☒ Responsive to communication(s) filed on 02 July 2008.
- 2a) ☐ This action is **FINAL**.                      2b) ☒ This action is non-final.
- 3) ☐ Since this application is in condition for allowance except for formal matters, prosecution as to the merits is closed in accordance with the practice under *Ex parte Quayle*, 1935 C.D. 11, 453 O.G. 213.

### Disposition of Claims

- 4) ☒ Claim(s) 1 - 15, 18-22 and 29-35 is/are pending in the application.
- 4a) Of the above claim(s) \_\_\_\_\_ is/are withdrawn from consideration.
- 5) ☐ Claim(s) \_\_\_\_\_ is/are allowed.
- 6) ☒ Claim(s) 1 - 15, 18-22 and 29-35 is/are rejected.
- 7) ☐ Claim(s) \_\_\_\_\_ is/are objected to.
- 8) ☐ Claim(s) \_\_\_\_\_ are subject to restriction and/or election requirement.

### Application Papers

- 9) ☐ The specification is objected to by the Examiner.
- 10) ☒ The drawing(s) filed on 04 June 2008 is/are: a) ☒ accepted or b) ☐ objected to by the Examiner.  
Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a).  
Replacement drawing sheet(s) including the correction is required if the drawing(s) is objected to. See 37 CFR 1.121(d).
- 11) ☐ The oath or declaration is objected to by the Examiner. Note the attached Office Action or form PTO-152.

### Priority under 35 U.S.C. § 119

- 12) ☐ Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).
- a) ☐ All    b) ☐ Some \*    c) ☐ None of:
1. ☐ Certified copies of the priority documents have been received.
2. ☐ Certified copies of the priority documents have been received in Application No. \_\_\_\_\_.
3. ☐ Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)).

\* See the attached detailed Office action for a list of the certified copies not received.

### Attachment(s)

- |  |   |
|--|---|
| 1) <input checked="" type="checkbox"/> Notice of References Cited (PTO-892)          | 4) <input type="checkbox"/> Interview Summary (PTO-413)           |
| 2) <input type="checkbox"/> Notice of Draftsperson's Patent Drawing Review (PTO-948) | Paper No(s)/Mail Date. _____                                      |
| 3) <input type="checkbox"/> Information Disclosure Statement(s) (PTO/SB/08)          | 5) <input type="checkbox"/> Notice of Informal Patent Application |
| Paper No(s)/Mail Date _____  | 6) <input type="checkbox"/> Other: _____                          |

## **DETAILED ACTION**

### ***Response to Amendment***

1. This action is response to the communication filed on July 2<sup>nd</sup>,2008
2. Claims 1 – 15, 18-22 and 29-35 are pending in current action.
3. The objection with respect to specification has been removed.
4. The objection with respect to drawings has been removed.
5. Election/Restriction Requirement has been removed

### ***Claim Rejections - 35 USC § 103***

6. The following is a quotation of 35 U.S.C. 103(a) which forms the basis for all obviousness rejections set forth in this Office action:

(a) A patent may not be obtained though the invention is not identically disclosed or described as set forth in section 102 of this title, if the differences between the subject matter sought to be patented and the prior art are such that the subject matter as a whole would have been obvious at the time the invention was made to a person having ordinary skill in the art to which said subject matter pertains. Patentability shall not be negated by the manner in which the invention was made.

7. Claims 1 – 12, 14, 18-20, 29 are rejected under 35 U.S.C. 103(a) as being unpatentable over Hara et al (US Pat No 7082351) in view of Faghri (US Pat No 6950788).

As for Claim 1, Hara et al shows a system for manipulation of objects comprising( Abstract): N physical objects, where N is greater than or equal to 2 and is an integer; and means for controlling and 2D locating of the N objects( Fig 1, Col 1, lines 59 - Col 2, lines 40; Col 3, lines 42 – 48 ). Hara et al does not show each of the N objects unaware of their respective position and orientation and not in communication with each other.

Faghri shows each of the N objects unaware of their respective position and orientation and not in communication with each other (Abstract, Fig 1, Fig 3, Fig 11, Fig 2, Computer device 10, Processor 14, Main memory 18; Col 4, lines 45 – Col 7, lines 65).

It would have been obvious for one of ordinary skill in the art, to provide a model of objects unaware of position and not communicated with each other, as taught by Faghri et al, to Hara et al, in order to provide a centralized simulation control system.

As for Claim 2, Hara et al shows the controlling means includes indicators disposed on the object (Col 38, lines 5-60).

As for Claim 3, Hara et al shows the controlling means includes sensing means for locating the objects (Col 3, lines 42 – 53).

As for Claim 4, Hara et al shows position indicators include emitters which indicate a position of an object (Col 38, lines 5 -60; Col 59, lines 20-30).

As for Claim 5, Hara et al shows the objects are vehicles (Col 42, lines 61 - Col 43, lines 5 where wheeled robot apparatus moving on the two dimensional plane).

As for Claim 6, Hara et al shows the controlling means includes a vehicle controller disposed with each vehicle (Fig 19, Col 25, lines 61 - Col 26, lines 46).

As for Claim 7, Hara et al shows the vehicle controller of each vehicle includes an MCU (Col 38, lines 42 - Col 39, lines 2).

As for Claim 8, Hara et al shows the sensing means includes sensors (Col 14, lines 49-56).

As for Claim 9, Hara et al shows the emitters include LEDs (Col 46, lines 17-25).

As for Claim 10, Hara et al shows a method for manipulating objects comprising the steps of: receiving information from N physical objects, where N is greater than or equal to 2 and is an integer, at a centrally controlling and 2D locating controller( Fig 1, Col 1, lines 59 - Col 2, lines 40; Col 3, lines 42 - 48); determining 2D locations by the controller of the N objects object ( Col 38, lines 5 -60; Col 59, lines 20-30); and transmitting from the controller directions to the N objects for the N objects to move ( Col 2, lines 12 - 52). Hara et al does not show each of the N objects unaware of their respective position and orientation and not in communication with each other.

Faghri shows each of the N objects unaware of their respective position and orientation and not in communication with each other (Abstract, Fig 1, Fig 3, Fig 11, Fig 2, Computer device 10, Processor 14, Main memory 18; Col 4, lines 45 – Col 7, lines 65).

It would have been obvious for one of ordinary skill in the art, to provide a model of objects unaware of position and not communicated with each other, as taught by Faghri, to Hara et al, in order to provide a centralized simulation control system.

As for Claim 11, Hara et al shows the transmitting step includes the step of transmitting from the controller kinematic parameters to the N objects ( Col 59, lines 16 - 32; Col 55, lines 15 - 65).

As for Claim 12, Hara et al shows an apparatus for tracking comprising: N physical objects, where N is greater than or equal to 2 and is an integer (Fig 1, Col 1, lines 59 - Col 2, lines 40; Col 3, lines 42 – 48), each object having an emitter which emits light; and means for 2D sensing of the N objects over time from the light emitted by each emitter (Col 46, lines 17-25). Hara et al does not show each of the N objects unaware of their respective position and orientation and not in communication with each other.

Faghri shows each of the N objects unaware of their respective position and orientation and not in communication with each other (Abstract, Fig 1, Fig 3, Fig 11, Fig 2, Computer device 10, Processor 14, Main memory 18; Col 4, lines 45 – Col 7, lines 65).

It would have been obvious for one of ordinary skill in the art, to provide a model of objects unaware of position and not communicated with each other, as taught by Faghri, to Hara et al, in order to provide a centralized simulation control system.

As for Claim 14, Hara et al shows a method for tracking comprising the steps of: emitting light from N physical objects, where N is greater than or equal to 2 and is an integer; and sensing 2D locations of the N objects over time from the emitted light from the N objects (Fig 1, Col 1, lines 59 - Col 2, lines 40; Col 3, lines 42 - 48; Col 46, lines 17-25). Hara et al does not show each of the N objects unaware of their respective position and orientation and not in communication with each other.

Faghri shows each of the  $N$  objects unaware of their respective position and orientation and not in communication with each other (Abstract, Fig 1, Fig 3, Fig 11, Fig 2, Computer device 10, Processor 14, Main memory 18; Col 4, lines 45 – Col 7, lines 65).

It would have been obvious for one of ordinary skill in the art, to provide a model of objects unaware of position and not communicated with each other, as taught by Faghri, to Hara et al, in order to provide a centralized control system.

As for claim 18, Hara shows an apparatus for tracking comprising:  $N$  physical objects, where  $N$  is greater than or equal to 2 and is an integer ( Fig 1, Col 1, lines 59 - Col 2, lines 40; Col 3, lines 42 - 48 ), each object having an emitter which emits light ( Col 41, lines 30- 50, LED 8 ) ; and a sensor for 2D sensing of the  $N$  objects over time from the light emitted by each emitter ( Fig 1, Col 1, lines 59 - Col 2, lines 40; Col 3, lines 42 - 48 ). Hara et al does not show each of the  $N$  objects unaware of their respective position and orientation and not in communication with each other.

Faghri shows each of the  $N$  objects unaware of their respective position and orientation and not in communication with each other (Abstract, Fig 1, Fig 3, Fig 11, Fig 2, Computer device 10, Processor 14, Main memory 18; Col 4, lines 45 – Col 7, lines 65).

It would have been obvious for one of ordinary skill in the art, to provide a model of objects unaware of position and not communicated with each other, as taught by Faghri, to Hara et al, in order to provide a centralized control system.

As for claim 19, Hara et al shows the objects are vehicles (Col 42, lines 61 - Col 43, lines 5 where wheeled robot apparatus moving on the two dimensional plane).

As for claim 20, Hara et al shows the objects are on vehicles ( Col 42, lines 61 - Col 43, lines 5 where the objects are embedded on to wheeled robot apparatus moving on the two dimensional plane ).

As for claim 29, claim 29 is equivalent to the claim 20; please refer to claim 20 rejections above.

**8.** Claim 13, 15, 22, 30-35 are rejected under 35 U.S.C. 103(a) as being unpatentable over Hara et al (US Pat No 7082351) in view of Faghri (US Pat No 6950788) and further in view of Storlie et al ( US Pat No 5252991).

As for Claim 13, Hara et al shows N objects ( Col 42, lines 61 - Col 43, lines 5 ), element on which the N objects are disposed ( Col 42, lines 61 - Col 43, lines 5; Col 42, lines 61 - Col 43, lines 5 where wheeled robot apparatus moving on the two dimensional plane ), Hara et al does not show the sensing means includes at least 2 1-D sensors that sense the light emitted from the edge of the planar element on which the objects are disposed.

Storlie et al shows the sensing means includes at least 2 1D sensor that sense the light emitted from the edge of the planar element on which the objects are disposed ( Abstract, Fig 2, direct beams 36,38; Fig 3, optical sensor 40,42; Col 2, lines 55 - Col 3, lines 65).

It would have been obvious for one of ordinary skill in the art, to provide sensing means, as taught by Storlie et al, to Hara et al, in order to detect the motion of objects for the central control unit.



As for Claim 15, Hara et al shows sensing 2D locations of the N objects over time from the emitted light from the N objects (Col 42, lines 61 - Col 43, lines 5 where wheeled robot apparatus moving on the two dimensional plane; Col 38, lines 5-60; Col 46, lines 17-25; Fig 1, Col 1, lines 59 - Col 2, lines 40; Col 3, lines 42 - 48; Col 46, lines 17-25). Hara et al does not show sensing through an edge of a planar element on which N objects are disposed.

Storlie et al shows sensing through an edge of a planar element on which N objects are disposed. ( Abstract, Fig 2, direct beams 36,38; Fig 3, optical sensor 40,42; Col 2, lines 55 - Col 3, lines 65).

It would have been obvious for one of ordinary skill in the art, to provide sensing means, as taught by Storlie et al, to Hara et al, in order to detect the motion of objects for the central

As for claim 22, Hara et al shows the objects are on a surface ( Col 42, lines 61 - Col 43, lines 5 where wheeled robot apparatus moving on the two dimensional plane; Col 38, lines 5-60; Col 46, lines 17-25 ). Hara et al does not show the sensor senses light at the edge of the surface.

Storlie et al shows show the sensor senses light at the edge of the surface (Abstract, Fig 2, direct beams 36, 38; Fig 3, optical sensor 40, 42; Col 2, lines 55 - Col 3, lines 65).

It would have been obvious for one of ordinary skill in the art, to provide sensing means, as taught by Storlie et al, to Hara et al, in order to detect the motion of objects for the central control unit.

As for claim 30, claim 30 is equivalent to the claim 19; please refer to claim 19 rejection above.

As for claim 31, claim 31 is equivalent to the claim 20; please refer to claim 20 rejection above.

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As for claim 32, claim 32 is equivalent to the claim 19; please refer to claim 19 rejection above.

As for claim 33, claim 33 is equivalent to the claim 20; please refer to claim 20 rejection above.

As for claim 34, claim 34 is equivalent to the claim 19; please refer to claim 19 rejection above.

As for claim 35, claim 35 is equivalent to the claim 20; please refer to claim 20 rejection above.

9. Claim 21 is rejected under 35 U.S.C. 103(a) as being unpatentable over Hara et al ( US Pat No 7082351) in view of Faghri ( US Pat No 6950788 ) and further in view of Kanayama et al ( US Pat No 5719762 ).

As for claim 21, Hara et al does not show vehicles capable of holomonic motion. Kanayama shows vehicles capable of holomonic motion (Fig 2; Col 2, lines 15 - 30).

It is obvious for one of ordinary skill in the art, to provide holomonic motion, as taught by Kanayama, to Hara et al, in order to provide a collision impact minimize means for group objects.

### ***Response to Arguments***

10. Applicant's arguments with respect to claims 1 – 15, 18-22 and 29-35 have been considered but are moot in view of the new ground(s) of rejection.

11. Applicant states the prior art reference has not disclose physical objects. Applicant's attention is directed to Hara et al, Fig 1, Fig 3; Col 12, lines 60 – Col 13, lines 45

### ***Conclusion***

Any inquiry concerning this communication or earlier communications from the examiner should be directed to IAN JEN whose telephone number is (571)270-3274. The examiner can normally be reached on Monday - Friday 9:00-6:00 (EST).

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Khoi Tran can be reached on 571-272-6919. The fax phone number for the organization where this application or proceeding is assigned is 571-273-8300.

Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see <http://pair-direct.uspto.gov>. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free). If you would like assistance from a USPTO Customer Service Representative or access to the automated information system, call 800-786-9199 (IN USA OR CANADA) or 571-272-1000.

/Ian Jen/  
Examiner, Art Unit 3664  
/KHOI TRAN/  
Supervisory Patent Examiner, Art Unit 3664

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